

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

#### 1. Identifying information.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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**Other:** Anything not covered under the previous three boxes

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**Royalties:** Funds are coming in to you or your institution due to your patent

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Md Sazzad

2. Surname (Last Name)

Hassan

3. Date

24-February-2021

4. Are you the corresponding author?

☒ Yes ☐ No

5. Manuscript Title

Targeted therapy in Esophageal Cancer

6. Manuscript Identifying Number (if you know it)

DMR-2020-TGC-01 (DMR-21-16)

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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### Section 6. Disclosure Statement

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Dr. Hassan has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1.

#### Identifying Information

1. Given Name (First Name)

Victoria

2. Surname (Last Name)

Makuru

3. Date

24-February-2021

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Md Sazzad Hassan

5. Manuscript Title

Targeted therapy in Esophageal Cancer

6. Manuscript Identifying Number (if you know it)

DMR-2020-TGC-01 (DMR-21-16)

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☒ No

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Dr. Makuru has nothing to disclose.

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|                                                                                                          |                                      |                                                |
|----------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------|
| 1. Given Name (First Name)<br>Urs                                                                        | 2. Surname (Last Name)<br>von Holzen | 3. Date<br>24-February-2021                    |
| 4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |                                      | Corresponding Author's Name<br>M Sazzad Hassan |
| 5. Manuscript Title<br>Targeted therapy in Esophageal Cancer                                             |                                      |                                                |
| 6. Manuscript Identifying Number (if you know it)<br>DMR-2020-TGC-01(DMR-21-16)                          |                                      |                                                |

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If yes, please fill out the appropriate information below.

| Name of Entity | Grant?                   | Personal Fees?           | Non-Financial Support?   | Other?                              | Comments          |
|----------------|--------------------------|--------------------------|--------------------------|-------------------------------------|-------------------|
| Novartis       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | spouse owns stock |
| Roche          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | spouse owns stock |

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Dr. von Holzen reports other from Novartis, other from Roche, outside the submitted work; .

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